







SPIRE-04-2014 Projects

Harmonised Recommendations

## SAMT-STYLE-MEASURE Harmonised Recommendations

The Sustainable Process Industry through Resource and Energy Efficiency (SPIRE) Public—Private Partnership brings together several sectors of process industry: cement, ceramics, chemicals, engineering, minerals and ores, non-ferrous metals, steel and water. All SPIRE sectors can be considered as resource and energy intensive and thus improving resource and energy efficiency are urgent issues for improving the sustainability and competitiveness of the sectors.

For the SPIRE sectors, sustainability assessment methods are crucial for evaluating the current state and the achievement of the goals related to resource and energy efficiency. For evaluating the overall resource and energy efficiency of the SPIRE sectors as a whole, tools and indicators that are applicable for cross-sectorial assessment are required. Three parallel SPIRE-04-2014¹ projects (SAMT, STYLE and MEASURE) with a specific objective to increase the European knowledge base related to applied sustainability assessment tools, methodologies, indicators and to overcome the bottlenecks for cross-sectorial take-up and further development in the process industry have now reached completion.

The aim of the SAMT project was to review and make recommendations about the most potential methods for evaluating sustainability in the process industry to improve the integration of sustainability assessment methods in decision making. It was discovered, for example, that there is a demand for both developing existing methods to cover and integrate different aspects of sustainability (environmental, economic and social), and to develop simplified assessments with harmonised guidelines, in which the assessment process could be integrated to the daily decision-making by automating part of the work flow. Additionally, based on the analysis of existing standards (including ISO 14000 family and PEF) future standardisation activities related to sustainability assessment methods were proposed.

Project STYLE set out with a remit to look for an ideal collection of tools to meet the needs of a specific scenario: 'A project team is evaluating options for a resource or energy improvement for their process or product and they need a pragmatic tool to check the broader sustainability implications of each technological solution'. Although promising features were found in existing open access tools, the most suitable tools found were developed in-house by industry and lacked availability and transferability to be used across the SPIRE process industries. Consequently, STYLE has worked with project partners and stakeholders to develop a high-level structure for an 'Ideal Toolkit', taking useful features from existing tools and feedback from tool users. STYLE has made recommendations for the further development of this Toolkit.

The MEASURE team focussed on concepts to guide innovation processes within SPIRE collaborations towards more sustainable technologies and to facilitate industrial cooperation in sustainability assessment cross-sectorial as well as along value chains. MEASURE advocates an innovation management approach, which promotes holistic understanding of economic, environmental and societal challenges that a new technology must respond to. The approach provides an ability to compare alternative solutions, understanding of their benefits and drawbacks as well as of the (remaining) distance to a defined target for robust decision-making. Cooperation between industries aiming for a holistic understanding of the chances of industrial symbioses and product value chain management are supported, e.g., by recommendations for a harmonised data exchange.

This document summarises the harmonised SAMT, STYLE and MEASURE overall recommendations.

Detailed recommendations, background and roadmaps for each of these projects can be found through the following links:

| SAMT  | Website: <a href="https://www.spire2030.eu/samt">www.spire2030.eu/samt</a> Final Recommendations: <a href="https://www.spire2030.eu/samt">www.spire2030.eu/samt</a>   |
|---|---|
| STYLE SUSTAINABILITY TOOLKIT FOR EASY LIFE-CYCLE EVALUATION | Website: <a href="https://goo.gl/5uYHWY">www.spire2030.eu/style</a> Recommendations Roadmap: <a href="https://goo.gl/5uYHWY">https://goo.gl/5uYHWY</a> Ideal Toolkit Framework: <a href="https://goo.gl/2zMHHY">https://goo.gl/2zMHHY</a> |
| MEASURE   | Website: <a href="https://goo.gl/wWdOaE">www.spire2030.eu/measure</a> Recommendations Roadmap: <a href="https://goo.gl/wWdOaE">https://goo.gl/wWdOaE</a>  |

<sup>&</sup>lt;sup>1</sup> http://cordis.europa.eu/programme/rcn/665065 en.html

### **UPTAKE ACTIONS**

| Action   | Description  | Actors  | Timing <sup>2</sup>    |
|--|--|---|------------------------|
| Promote a wider use of life-<br>cycle-thinking (LCT)-based<br>tools among process industry<br>for regular decision-making    | In order to reach the European sustainability targets, a wider use of LCT-based tools among the different sectors of the European process industry is needed for regular decision-making, as well as for innovation management.  | Industry and industrial associations  | Short term             |
| Support data exchange and cross-sectorial collaboration between industries   | More (standardised) data exchange between industries of different sectors in LC(S)A studies is required in order to identify synergies and potentials for efficiency increase along value chains and in cross-sectorial collaborations.  | Industry and industrial associations  | Short / Medium<br>term |
| Enable the use of LCT-based tools in the SPIRE context for guidance and assessment of innovation projects                    | The use of LCT-based tools for the assessment of innovation in the context of European collaborative projects can gain significant benefits in terms of more sustainable process design and should be intensified in the SPIRE PPP. Those industry-oriented projects have to focus strongly on the future impact potentials of new technologies and should be more intensely used to demonstrate the chances of coupling engineering, design, decision-making and LCT. | SPIRE PPP teams   | Short term             |
| Install a cross-sectorial forum for promoting SPIRE-4 recommendations & 'insights' and exchanging knowledge & good practices | EC supported by A.SPIRE should continue to promote the findings from STYLE, SAMT and MEASURE to current and future SPIRE projects. Joint sustainability working group or forum across different process industry sectors and LCA or sustainability experts to share experiences and good practices   | Active dissemination of the results from all the SPIRE4- projects towards other SPIRE PPP teams, LCA practitioners in industry and academia, software developers, and other stakeholders by the EC supported by A.SPIRE | Short/Medium<br>term   |

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<sup>&</sup>lt;sup>2</sup> Short term: up to 2020; Medium term: up to 2025; Long term: 2025-2030+

| Guidance for use of sustainability evaluations in SPIRE projects             | Providing guidance for use of early-stage and stage-gate approaches to evaluations in SPIRE projects –based on STYLE, SAMT, MEASURE  | SPIRE PPP and partner organisations           | Short term           |
|--|--|---|----------------------|
| Drive more harmonisation   | There is a distinct need for more harmonisation of LC(S)A databases, methodological choices and communication approaches in the industrial as well as in the research funding environment.  Agreements and harmonisation activities within industry sectors and along industrial supply chains (e.g. Product Category Rules (PCR)) should be supported, and periodically reassessed and updated. ISO 14040/44 has to be the basis. | Industry,<br>authorities,<br>academia         | Short/Medium<br>term |
| Increasing market uptake,<br>customer and consumer<br>knowledge              | Increasing interest and awareness for making sustainable choices through active communication and education activities, and increasing availability of easy access sustainability information in products  | Industry,<br>authorities,<br>academia         | Long term            |
| Support development and usage of Open Access tools (cross-sector SME target) | Funding support for the development and maintenance of Open Access Qualitative Screening Tools   | Policy (funding bodies)                       | Short/Medium<br>term |
|  | Coaching of organisations new to sustainability evaluations in the usage of Open Access tools  | Funding bodies,<br>industry, LCM<br>community | Medium/Long<br>term  |

# **METHODOLOGY ACTIONS**

| Action   | Description   | Actors  | Timing               |
|--|---|---|----------------------|
| Improvement of existing environmental assessment methods | All methodologies applied should be based on standards. ISO 14040/44 present the widely accepted framework for LCA; all environmental life cycle-based methods should be based on it.   | LCM Community,<br>Industry, standardisation<br>body                                     | Short / Long<br>term |
|  | Standardise vocabulary and semantics across the three sustainability pillars: In order to promote compatibility between tools and to increase common understanding of terms, the Life Cycle Management community should work to provide more standard definitions of terms.   | LCM Community,<br>Industry, standardisation<br>body                                     | Short term           |
|  | Improvement of current standards in a way that they provide a clear methodological approach to handle "closing the loop" models and end-of-life modelling i.e. aspects related to circular economy.   | Standardisation body + dedicated stakeholder, academia, industry                        | Short term           |
|  | Broader development of various PEFCRs and testing the newly developed biodiversity indicators proposed by PEF and UNEP (See SAMT D3.2) to gain experience and further develop the approach  | Academia, JRC, Industry   | Short/Medium<br>term |
|  | Further development and implementation of impact assessment methods related to toxicity (such as ProScale and USEtox), Abiotic depletion potential, Ionization potentials, micropollutants, land use changes and others   | Academia, JRC,<br>Standardisation body  | Medium term          |
|  | Develop approaches to uncertainty and sensitivity analysis for data-lean evaluations including LCA software tools to enable the use of a wider range of methods for uncertainty propagation. Prepare a new initiative "Guidelines for simplified LCA" under ISO TC 207  | Standardisation body + dedicated stakeholder, involvement from industry & RTOs, ISO SC5 | Medium term          |
| Further development of social assessment methods         | Enhance/increase use & knowledge of social assessment methods, e.g. by testing the newly developed S-LCA guidelines (See SAMT D2.2) and develop approaches to incorporate social factors in sustainability assessments and agreements of the community on the linkage of social indicators and impacts to a product or organization | Industry (involving stakeholders, community & market demands), WBCSD, RTOs              | Short term           |
|  | Standardization of social impact assessments, in particular Social-LCA, development of databases on social impacts  | Standardization body + dedicated stakeholder, involvement from industry & research      | Medium term          |

| Standardization of monetization aspects                 | Find consensus on quantitative economic approaches considering usefulness of input/output systems and monetization aspects via active involvement in new standardisation initiatives (ISO 14008 & 14007)   | Standardisation body + dedicated stakeholder, involvement from industry & RTOs, ISO SC5 & SC1 | Short term            |
|---|--|---|-----------------------|
| Further development of integrated assessment approaches | Develop approaches to incorporate social factors in sustainability assessments.  Harmonisation of integrated assessment methods working in particular on the critical issues of  1) normalisation and weighting 2) possible generation of synthetic scores 3) communication and visualisation, trade-off identification and assessment | RTO's, Industry,<br>Commission (H2020<br>funding), LCM<br>community                           | Short/Medium<br>term  |
|   | A consensus has to be found on how to determine resource efficiency and on the definitions of resources themselves   | LCM Community,<br>Commission (H2020)  | Short term            |
|   | The use of multi-criteria decision methods (MCDM) should be more integrated into the decision processes in innovation and development processes to provide consistent and transparent decision guidance  | LCM Community, SPIRE<br>PPP, Industry   | Short/Medium<br>term  |
|   | Improve robustness of qualitative screening approach, based on consensus and collaboration with 'softer sciences', ie. Human factors   | LCM Community,<br>Industry  | Short/ Medium<br>term |
| Guidance for method and tool selection                  | Specify the methodology for calculating expected impacts of SPIRE projects (See recommendations in the MEASURE roadmap, section 4)   | LCM Community,<br>Commission (within<br>SPIRE PPP)  | Short term            |
|   | Implementation of a target-oriented stage and gate process within publicly funded projects is strongly recommended in order to funnel R&D results into innovation. (See MEASURE roadmap, section 4)  | SPIRE PPP, Commission<br>(H2020)  |                       |
|   | Extension and continuous development of the ELCD platform  | Commission, JRC, stakeholders   | Short/Medium<br>term  |
|   | Development of a web-based system to support method and tool selection (ToolKit), offering comprehensive and up-to-date information of available methods and tools, with possibility to search for suitable methods for different decision-making contexts and sustainability challenges according to selected criteria.               | Commission (H2020),<br>with contributions from<br>industry, academia and<br>stakeholders      | Medium term           |
|   | Guidance Framework for an <i>Ideal Toolkit</i> specification (to support industry decision making in projects from Technology Readiness Level 4-7).  | Commission (H2020),<br>with contributions from<br>industry, academia and<br>stakeholders      | Short/Medium<br>term  |

# **TOOLS ACTIONS**

| Action  | Description   | Actors   | Timing               |
|---|---|--|----------------------|
| Development of tools to simplify data handling & management | Development of automated steps to simplify data collection, handling and uncertainty management. Examples of successful developments from industry include for example PreSelect and Ecovadis | LCA software developers, ICT experts, with contributions from LCA practitioners from industry & academia | Short term           |
| Development of simple tools adaptable to different contexts | Provide access to site specific or primary data and the possibility to modify predefined assumptions in simplified tools  | LCA software developers, ICT experts, LCA practitioners (industry & academia)                            | Short term           |
|   | Adaptable tools allowing a shift between different levels of assessments: from simplified to comprehensive and vice versa   | LCA software developers, ICT experts, LCA practitioners (industry & academia)                            | Medium term          |
|   | Integration of tools for improved visualisation of results, & handling and visualisation of uncertainty   | LCA software developers, ICT experts, LCA practitioners (industry & academia)                            | Medium term          |
|   | Development of Open Access Qualitative<br>Screening Tool for high-level monitoring<br>of sustainability in industry and<br>collaborative projects   | LCM community, industry, policy (SPIRE)  | Short/Medium<br>term |
| Tools for high throughput calculations of scenarios         | LCA Internet Managers/calculation tools for scenario calculations   | LCA software developers, ICT experts, LCA practitioners (industry & academia)                            | Medium term          |

### **DATA ACTIONS**

| Action   | Description   | Actors  | Timing                |
|--|---|---|-----------------------|
| Improving interoperability between different datasets and LCA software | Improving the interoperability among ELCD/ILCD DN and existing LCA software packages, taking into account related global developments under the UNEP/SETAC Life Cycle Initiative  | LCA software developers and data providers, JRC   | Short                 |
|  | A review of the ISO/TS 14048:2002<br>'LCA data documentation format', considering the possibility to improve interoperability of different datasets and software packages, creating updated and extensive principles for data documentation, and taking into account the developments related to ILCD DN and UNEP/SETAC LCI | Standardisation body, ISO<br>TC207, LCA software<br>developers and data<br>providers, JRC | Short                 |
| Improving availability of data   | Increase availability of generalised LCI data from different sectors via cooperation through the industry associations, using agreed principles for transparent data documentation  | Industry, Industry<br>associations, database<br>providers                                 | Short/Medium          |
|  | Better access of ERP to the plant floor data, agreement on data exchange standards, and an integration of process models and LCA models into plant management   | Industry, software developers   | Short/Medium          |
|  | Agreed principles for confidential data sharing (e.g. using a black box model proposed by the MEASURE project or similar approach)  | Industry associations   | Medium                |
|  | Integrate social and economic data into public databases  | LCM community, Industry associations  | Medium/Long<br>term   |
|  | Streamline databases with more efficient data input for industry and better meta data for capturing data quality and providence   | LCM community, policy   | Short/ Medium<br>term |
|  | Data-mining methods for generic LC inventories  | Software developers   | Short/ Medium<br>term |
|  | Consider and develop new approaches to increase availability of generalised data for social assessments   | All actors  | Medium/long           |



| SAMT  | This project has received funding from the European Union's Horizon 2020 research and Innovation programme under grant agreement No 636727. |
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| STYLE SUSTAINABILITY TOOLKIT FOR EASY LIFE-CYCLE EVALUATION | This project has received funding from the European Union's Horizon 2020 research and Innovation programme under grant agreement No 636771. |
| MEASURE   | This project has received funding from the European Union's Horizon 2020 research and Innovation programme under grant agreement No 637016. |